

# Thermal Conversion of Lignin–Cellulose Composite Particles into Aggregates of Fine Carbon Grains Holding Micro- and Mesoporous Spaces

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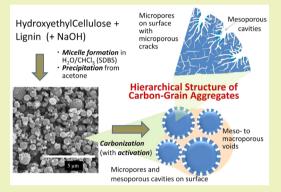
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# Supporting Information

**ABSTRACT:** Composite particles of lignin-hydroxyethylcellulose were fabricated by means of reverse-micelle formation using  $H_2O$ -CHCl<sub>3</sub> in the presence of a surfactant, and an aggregate of the particles was obtained by successive precipitation from acetone, filtration, and drying. The aggregate was carbonized by pyrolysis with heating up to 900 °C under flowing of argon. The carbonized product was a hierarchical porous carbon that had a Brunauer-Emmett-Teller (BET) surface area of 405 m<sup>2</sup>/g with micropore volume (0.11 cm<sup>3</sup>/g) and no small mesopore volume (0.58 cm<sup>3</sup>/g). Higher-porous spherical-carbon aggregates were obtained by fitting an in situ surface activation in the carbon conversion process, i.e., composite particles similarly prepared from lignin and hydroxyethylcellulose in the presence of NaOH were carbonized. One of them showed a BET surface area of 1790 m<sup>2</sup>/g with a micropore volume (0.54 cm<sup>3</sup>/g) and large mesopore volume (2.08 cm<sup>3</sup>/g). This in situ activation process added



micropores and mesoporous cavities to the microporous carbon grains and enhanced development of mesoporous voids between the grains. The aggregate of the activated carbon grains in 1 M  $H_2SO_4$  showed a good electrical double layer capacitance of 394 F/g at a current density of 0.05 A/g, which declined logarithmic functionally to 269 F/g at a higher current density of 0.4 A/g. **KEYWORDS:** *Cellulose, Lignin, Porous carbon, Carbonization, Activation* 

# **INTRODUCTION**

Efficient thermal conversions of biomass resources that are produced by photosynthesis into valuable carbon-rich materials could be a consistent strategy to get the carbon cycle on earth back to normalcy and to sustain global environmental accumulation of carbon sources in living areas against excessive scattering of carbon sources into the atmosphere by huge consumption of fossil fuels since the last century. In recent years, porous carbons have been paid much attention as high performance materials for efficient energy use<sup>1-3</sup> and have been practically used in electric double layer capacitors, fuel cells, lithium ion batteries, hydrogen storage, and so on.<sup>4-6</sup> Therefore, development of functional porous carbon materials derived from biomass resources and wastes could be of benefit to mankind from the viewpoint of effective utilization of resources and energy. Various industrial functional carbon materials such as charcoal, activated carbons, and carbon blacks, have been directly manufactured from organized biomass by carbonization via top-down approach, but construction of highly functionalized carbon materials from each component of biomass by bottom-up approach is still primitive.

Lignin counteracted with alkaline (LA) is known as a waste material and a refined industrial extract of wood resources. Recently, high-porous carbons have been successfully prepared from LA and its structured derivatives by pyrolysis under flowing of argon without any additional activation processes.<sup>7</sup> The simple pyrolysis methods for the production of high-porous carbon<sup>8,9</sup> have been less investigated compared to the methods for the preparation of activated carbons.<sup>10–12</sup> Nano-to-micro structurations of starting substances as fine particle aggregates using a sol–gel process have been an effective way to bring meso- to macroporosity on nonporous polymer solids and their carbonized materials, as in a series of studies on carbon gels.<sup>13–15</sup> Therefore, addition of meso- to macroporosity to the microporous carbonized lignin has been

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sample	HEC (mg)	LA (mg)	NaOH (mg)	SDBS (mg)	$H_2O$ (mL)	$CHCl_{3}$ (mL)	temperature <sup><math>a</math></sup> (°C)				
LCC	100	200		400	6	30	r.t.				
LCC1-a	100	200	300	1200	8	80	20				
LCC2-a	100	200	300	900	4	60	20				
LCC3-a	100	200	300	600	4	40	20				
<sup>a</sup> Temperature for micelle formation.											

Table 1. Preparation Conditions of LCC

attempted at the beginning.<sup>7</sup> The freeze-dried reverse micelle of LA did not give particle aggregates but instead fine-structured ones, whose carbonized materials had higher porosity with micro- to macropores than the carbons from nonstructured LA derivatives. As a result, high-porous carbons having  $S_{\text{BET}}$  values higher than 1400 m<sup>2</sup> g<sup>-1</sup> with micro- to macropores were obtained by further structurations of LA and successive carbonization, although aggregates of uniform spherical carbons that have narrow distribution of mesopore widths like the carbon gels<sup>13–15</sup> were hard to be prepared. The low processability of LA and difficulty of keeping uniform particle shape are mainly due to the low molecular weight of LA.

On the other hand, cellulose is a high-molecular polysaccharide being used in various fields, and is the most abundant organic polymer on earth. There have been many reports on the carbonization of biological resources that contain cellulose, whereas reports on synthesis of carbon materials directly derived from cellulose are relatively few. Low carbonization yield and insolubility to solvents of cellulose might be reasons for lack of investigation. As far as recent studies on the preparation of functional carbon materials from cellulose, surface activations $^{16-18}$  have been key processes to add porosity to the carbonized materials. Hydrothermal treatments<sup>19–21</sup> also have been recognized to be remarkable processes to reconstitute cellulosic materials. Nevertheless, combination of lignin and cellulose is thought to be a best choice for production of nanostructured carbons from woody components among others, because the composite must have appropriate moldability and stiffness for nanostructuration and good thermal conversion yield to carbon. Practically, coelectrospinning of lignin and cellulose followed by carbonization could afford porous core-shell carbon fibers.

In this study, spherical carbons are attempted to fabricate from LA and hydroxyethyl cellulose (HEC). The reason for using HEC is that it is a high-molecular cellulose derivative soluble in water and has high moldability, as it has been widely used as a thickener and binder in industries.<sup>23</sup> The combination of water-soluble LA and HEC enables us to make reverse micelles using heterogeneous mixed solvents of water and CHCl<sub>3</sub>, and successive precipitation of the colloidal liquid from acetone can afford LA–HEC composite particles. The composite particles can be converted into aggregates of porous carbon grains by pyrolysis.

# EXPERIMENTAL SECTION

**Materials.** Lignin counteracted with alkaline (LA) (trade name: alkaline lignin) was purchased from Tokyo Kasei Co. Ltd. As described in a previous report,<sup>7</sup> LA was soluble in water (pH = 8.81 at 0 °C), and the molecular weight was estimated to be about 350 g mol<sup>-1</sup> by the freezing-point lowering method using a cryoscopic constant of H<sub>2</sub>O ( $K_b$  = 1.86 K kg mol<sup>-1</sup>). Hydroxyethyl cellulose (HEC) was purchased from Nacalai Tesque. Co. Ltd. Other chemicals used in this work were of reagent grade.

**Preparation of Composite Particles of LA and HEC.** LA (200 mg) and HEC (100 mg) in an H<sub>2</sub>O (6 mL)-chloroform (30 mL)

inhomogeneous medium in the presence of sodium dodecylbenzenesulfonate (SDBS) (400 mg) were mixed under an ultrasonic irradiation and vigorous stirring at room temperature. After the emulsification, the emulsion was dropped into a large amount of acetone with stirring to give a fine precipitation. The solid products were collected by filtration, washed well with acetone, and dried at 80 °C under vacuum for 3 h, giving lignin–cellulose composite particles (LCC), quantitatively. Similarly, LA (200 mg), HEC (100 mg), and NaOH (300 mg) composite particles (LCC1-a, LCC2-a, and LCC3-a) were prepared from reverse micelle of LA, HEC, and NaOH in the heterogeneous two-phase aqueous medium (H<sub>2</sub>O:CHCl<sub>3</sub>(mL) = 8:80, 4:60, and 4:40) in the presence of SDBS (1200, 900, and 600 mg) at 20 °C, followed by the precipitation from acetone, respectively. These reaction conditions are summarized in Table 1.

**Carbonization.** A fine powder of LA, HEC, LCC, and LCC-a (ca. 200 mg) on an alumina boat covered with an alumina plate was heated in a furnace (EKRO-12K, Isuzu) from room temperature to 900 °C at heating rate of 1 °C/min except the case for HEC (by heating at a rate of 10 °C/min from room temperature to 200 °C, 1 °C/min from 200 to 400 °C, and 10 °C/min from 400 to 900 °C) under flowing of argon. After the heating, the carbonized samples were cooled to room temperature under an argon atmosphere. Carbonization yields at 900 °C of these samples were comparable to or higher than those obtained from TG/DTA. The names of the samples were abbreviated as C-sample name, and the sample washed with distilled water was abbreviated as C-sample name-w. The carbonization yields of LCC-aw were given by (weight of C-LCC-aw at 900 °C)/(total weight of LA and HEC in LCC-a).

**Measurements and Analyses.** The thermogravimetry and differential thermal analysis (TG/DTA) of LA, HEC and LCC were carried out by an Extra 6000 TG/DTA (Seiko) under flowing of argon. The scanning electron microscope (SEM) images were acquired with a JSM-5510SEM (Jeol). The particle size distribution and average particle diameter of the samples (in CHCl<sub>3</sub>) were measured by dynamic light scattering (DLS) with a DLS-6500 (Otsuka Electronics).

The pore structure of the samples was characterized with the N<sub>2</sub> adsorption isotherm at 77 K measured with a SA3100 (Coulter) and a Belsorp max (MicrotracBEL). Brunauer–Emmett–Teller (BET) specific surface area ( $S_{\rm BET}$ ) was obtained by the BET method.

The value of adsorbed volume at relative pressure  $(P/P_0)$  of 0.98 was adopted as total pore volume  $(V_{\text{total}})$ . According to the previous report,<sup>7</sup> the pore characterizations of the carbonized materials were also carried out by the  $\alpha_s$ -plots for micropores and the Dollimore–Heal (DH) method for mesopores, respectively.<sup>24,25</sup> The subtracting pore effect (SPE) analysis of the  $\alpha_s$  plots was also carried out to determine the total surface area  $(S_{\text{total}})$ , external surface area  $(S_{\text{ext}})$ , total micropore volume  $(V_{\text{micro}})$ , mesopore volume  $(V_{\text{meso}})$ , and average pore width  $(w_{\alpha})$  of micropores.<sup>26</sup>

The electrical double layer capacitances (EDLC) were measured by a galvanostatic charge/discharge technique at various current densities using a Hokutodenko HA301 constructed with three electrodes, i.e., a carbon sample wedged between Pt meshes as the working, a Pt plate as the counter, and a saturated calomel electrode (SCE) as the reference, in 1 M (mol dm<sup>-3</sup>) H<sub>2</sub>SO<sub>4</sub> under N<sub>2</sub>. The carbon samples in a form of a disk pellet were prepared from respective carbon powders by mixing well with polyvinylidene fluoride (10 wt %) as a binder under a pressure of 4 MPa. Capacitances were normalized by weight (g) and BET surface area (m<sup>2</sup>), respectively.

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# RESULTS AND DISCUSSION

**Preparation of Lignin–Cellulose Composite Particles** (LCC). Because LA and HEC are soluble in water, it is possible to form reverse micelle of LA and HEC in a heterogeneous  $H_2O$ -chloroform medium in the presence of sodium dodecylbenzenesulfonate (SDBS) as the surfactant. The precipitation of the emulsion from acetone, washing with acetone, and drying under vacuum quantitatively afforded lignin–cellulose composite particles (LCC) as a fine powdery solid. The precipitation and washing processes are important to solidify the LA–HEC composites as grains and remove the surfactant. X-ray photoelectron spectroscopic (XPS) results and infrared absorption (IR) spectra suggest almost no presence of SDBS in LCC (Figures S1 and S2, Supporing information). The DLS analysis of LCC in CHCl<sub>3</sub> suggested that the average grain diameter was 790  $\pm$  207 nm.

Thermal Analyses of LA, HEC, LCC and Properties of Their Carbonized Samples. Thermal behaviors of LA, HEC, and LCC in a form of powder were investigated by TG/DTA (Figure 1). The TG curve of LA indicates that the mass losses

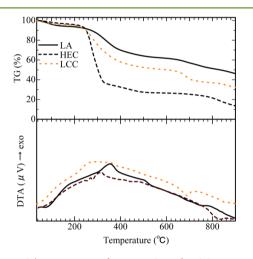


Figure 1. TG/DTA curves of LA, HEC, and LCC measured at a heating rate of 10  $^\circ \rm C~min^{-1}$  under flowing of argon.

were about 40% at 600 °C and 55% at 900 °C, which means that the carbonization yield of LA (45% at 900 °C) is higher compared to other woody materials.<sup>16</sup> By contrast, HEC shows low carbonization yield, i.e., the large mass losses about 75% at 600 °C and about 85% at 900 °C in the TG curve. LCC shows almost intermediate TG behavior between LA and HEC. On the other hand, the DTA curves did not show any apparent endothermal and exothermal peaks in the temperature range of 200-400 °C, although significant large mass-loss occurs around the temperature range in all cases. These results suggest that complex reactions involving eliminations and recombinations occur during the mass loss, and the exothermal reactions including recombination and cross-linking hidden in the thermograms could be a key process to produce thermostable carbon precursors in high yields.

On the basis of TG/DTA investigations, LA, HEC, and LCC samples were carbonized under various conditions to obtain porous carbons, and surface analysis of the carbonized materials (C-LA, C-HEC, C-LCC) were carried out by the  $N_2$  adsorption–desorption analysis. The typical  $N_2$  adsorption isotherms measured at 77 K are shown in Figure 2 and the results are summarized in Table 2.

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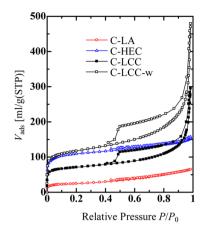


Figure 2.  $N_2$  adsorption–desorption isotherms of C-LA, C-HEC, C-LCC, and C-LCC-w at 77 K.

The shapes of isotherms of C-LA and C-HEC are of type I according to classification of IUPAC,<sup>24</sup> which suggests that C-LA and C-HEC are microporous materials. The  $S_{\text{BET}}$  of C-LA was rather lower than the previous result,<sup>7</sup> which might be delicately affected by conditions for production of C-LA. The isotherms of C-LCC and its water-washed sample (C-LCC-w) showed a distinct hysteresis like the type IV with features of type I and type II, which suggests presence of mesopores in addition to micropores. They also characterized by the steep increase of amount of adsorption in the region of high relative pressure, which implies that they have considerable spaces of meso- to macropores. Moreover, washing of C-LCC with distilled water is effective to eliminate deposited inorganic salts derived from LA on the surface, giving C-LCC-w having higher  $S_{\text{BET}}$  and  $V_{\text{total}}$  values than those of C-LCC. If the material is an aggregate of fine particles that have a uniform size, the space between the particles is expected to be recognized as mesopores with a narrow distribution of the pore sizes.<sup>14,24,27</sup> C-LCC and C-LCC-w are attained to have the relatively large total pore volume  $(V_{total})$ , probably due to the material form of the carbon grain aggregates. Analysis of the N2 adsorption isotherms by the DH method<sup>25</sup> showed that both have a broad distribution of mesopores whose pore widths are larger than 30 nm (Figure S3, Supporting Information).

Surface morphologies of LA, C-LA, HEC, C-HEC, LCC, C-LCC, and C-LCC-w are investigated by SEM and compared each other. Various shapes and sizes in several tens micro orders of smooth globular lumps of LA and fibrillar lumps of HEC were carbonized with retaining their morphologies (Figure S4, Supporting Information). In contrast to these uneven samples as received, LCC has a morphology of an aggregate of particles in various sizes of several hundred nanometers (Figure 3a). Particle sizes of LCC observed by SEM seem to be about within 500 nm, which is smaller than the average grain diameter of 790 nm determined by DLS. The difference might be due to different measuring conditions of the powdery samples between dried under vacuum and suspended in CHCl<sub>3</sub>. After carbonization of LCC and successive washing with water, the surface morphology of C-LCC-w was similar to that of LCC (Figure 3b).

**Properties of C-LCC-aw.** To prepare carbon grain aggregates with higher specific surface areas than C-LCC, an in situ activation process is added into the preparation procedure for C-LCC. Reverse micelle of LA, HEC, and NaOH is possible to make in the  $H_2O$ -chloroform medium in

sample <sup>a</sup>	yield <sup>b</sup> (%)	$S_{\rm BET}~({\rm m^2/g})$	$S_{\rm total}~({\rm m^2/g})$	$V_{\rm micro}~({\rm mL/g})$	w (nm)	$V_{\rm total}~({\rm mL/g})$	$V_{\rm meso}~({\rm mL/g})$
C-LA	39	86	94	0.014	0.71	0.099	0.085
C-HEC	14	379	441	0.13	0.75	0.24	0.11
C-LCC	36	245	300	0.068	0.67	0.42	0.35
C-LCC-w		405	479	0.11	0.71	0.69	0.58
C-LCC1-aw	18.1	1346	1430	0.35	0.83	2.59	2.24
C-LCC2-aw	21.1	1791	1973	0.54	0.79	2.62	2.08
C-LCC3-aw	17.6	1493	1626	0.48	0.81	1.68	1.20
$AC^{c}$	1354	1550	0.49	0.77	0.84	0.35	

<sup>*a*</sup>C-LA, C-LCC, and C-LCC-a were prepared from LA, LCC, and LCC-a by heating at 1 °C/min from room temperature to 900 °C under flowing of Ar. C-HEC was prepared from HEC by heating at 10 °C/min from room temperature to 900 °C except 1 °C/min in the range of 200–400 °C under flowing of Ar. <sup>*b*</sup>Yield = (weight of C – X)/(total weights of LA and HEC in X) × 100 (%). <sup>*c*</sup>A commercial activated carbon.

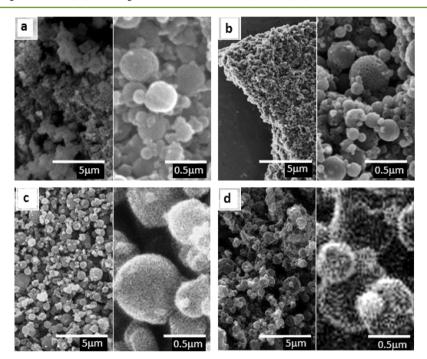


Figure 3. SEM images of (a) LCC, (b) C-LCC-w, (c) LCC2-a, and (d) C-LCC2-aw at magnifications of 5000× (left) and 40000× (right).

the presence of SDBS as the surfactant, because LA, HEC, and NaOH are well soluble in water. The micelles consisting of LA, HEC, and NaOH were prepared under various conditions described in the Experimental Section, and subsequent precipitation of the emulsion from acetone successfully gave composite particles (LCC1-a, LCC2-a, and LCC3-a), quantitatively. Successively, each LCC-a was carbonized by the steady heating up from room temperature to 900 °C at a rate of 1 °C/ min. The carbonized samples were washing with water and dried, giving respective C-LCC-aw in about 20% yields.

The SEM images of LCC2-a shown in Figure 3c indicate an aggregate of composite particles similar to that of LCC (Figure 3a), whereas sizes of the particles are different. The difference of the particle size might be largely dependent on the preparation conditions. The average particle sizes of LCC1-a and LCC2-a were  $671 \pm 113$  nm and  $404 \pm 39$  nm, respectively, determined by DLS. The average grain size of LCC3-a could not be determined by DLS for the reason for polydispersity but the particle size was the largest among three in the sight of SEM observations. Carbonization of LCC-a and successive washing with water gave C-LCC-aw, which had almost the same shape and size as those of C-LCC-a but

considerable rougher surface morphology as shown in Figure 3c,d.

The typical  $N_2$  adsorption-desorption isotherms of C-LCCaw and a reference sample of activated carbon (AC) are shown in Figure 4, and the surface analysis results of them are

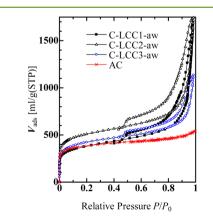


Figure 4.  $\rm N_2$  adsorption–desorption isotherms of C-LCC1-aw, C-LCC2-aw, C-LCC3-aw, and AC at 77 K.

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summarized in Table 1 together with the surface analysis results of carbonized LA, HEC, and LCC. The shapes of the isotherms of three C-LCC-aw showed a distinct hysteresis-like type IV in addition to type I and II features, which suggests presence of mesopores. DH analysis of C-LCC-aw shows that many mesopores are distributed in the range about 10-50 nm pore widths, which is narrower than those of LCC-1 (Figure S4, Supporting Information). Moreover, C-LCC2-aw had higher  $S_{\text{BET}}$  and considerably larger  $V_{\text{total}}$  values than those of C-LCCw and AC, which could be ascribed to the micro- to mesoporous spaces newly developed during the surface activation process. The surface activation of the composite particles blended well with LA, HEC, and NaOH effectively, proceeded along with developing microporous spaces by the pyrolytic carbonization, which resulted in formations of micropores by pyrolysis and activation, development of mesoporous cavities by erosion of the micropores, and increment of mesoporous voids by intimate packing of the activated carbon grains. These features suggest that a series of C-LCC-aw has a hierarchical porous structure consisting of micropores, mesoporous cavities, and meso- to macroporous voids.

Lastly, electrical double layer capacitances (EDLC) of C-LCC-aw were measured in 1 M  $H_2SO_4$  with a three-electrode system to confirm electrical function of the porous carbons, and the results are summarized in Figure 5a. C-LCC2-aw shows

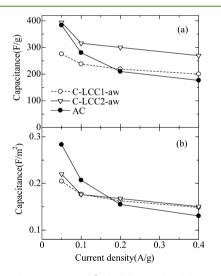


Figure 5. EDLC capacitances of C-LCC1-aw, C-LCC2-aw, and AC in 1 M  $\rm H_2SO_4.$ 

practically high gravimetric specific capacitance ( $C_g = 394$  F/g) at a low current density (0.05 A/g). The commercial AC also showed a comparable value ( $C_g = 384$  F/g) to that of C-LCC2aw at 0.05 A/g. At the higher constant current density of 0.4 A/ g,  $C_g$  of C-LCC2-aw declined logarithmic functionally to 269 F/g, whereas  $C_g$  of AC declined steeply to 177 F/g. The different behavior of decline of  $C_g$  between C-LCC-aw and AC is attributed to difference of porosity, i.e., the former is the hierarchical porous carbon-grain aggregate with micropores and mesoporous spaces and the latter is typical of a microporous carbon. The sufficient large mesopore volume for C-LCC-aw contributes to fast migration and diffusion of ions in the electrical double layers on the carbon surface, which is responsible for keeping the larger EDLC capacitances than those for AC in the faster discharge processes. Figure 5b shows the damping effect of capacitances per  $S_{BET}$  ( $C_s$ ) against increase of current density. Accordance of  $C_s$  between C-LCC1-aw and C-LCC2-aw suggests that both carbons have similar hierarchical porous structures with similar surface activities on capacitance, although their surface areas are different.

It is obvious that C-LCC-aw has high EDLC performance in comparison with normal activated carbons prepared from biomass precursors reported previously; nevertheless, it is difficult to compare the EDLC performance measured under various conditions. In the results of EDLC measurements in 0.1 M H<sub>2</sub>SO<sub>4</sub> equal to this experiment, a microporous carbon ( $S_{\text{BET}}$ = 1788  $m^2/g$ ) from sugarcane bagasse with ZnCl<sub>2</sub> activation showed a capacitance close to 300 F/g at 0.025 A/g,  $^{28}$  whereas an N-containing (1.5 at. % by XPS) activated carbon ( $S_{\text{BET}}$  = 1019 m<sup>2</sup>/g) from waste coffee grounds showed the higher capacitance, 368 F/g at 0.05 A/g.<sup>29</sup> Also, an activated carbon with N-content of 2 wt % (by XPS) and  $S_{\text{BET}} = 2062 \text{ m}^2/\text{g}$ showed a high capacitance, 355 F/g at 0.125 A/g.30 These higher values are due to the synergistic N-containing effects.<sup>31,32</sup> Under other conditions, activated carbons having  $S_{\text{BET}}$  about 1000-3000 m<sup>2</sup>/g prepared from various biomass precursors by several activation methods showed capacitances about 100–350 F/g in aqueous electrolytic solutions.  $^{33-35}$  It is generally recognized that (i) carbons having higher surface area show higher capacitance, (ii) presence of N on the carbon surface enhances capacitance characteristics in aqueous solution, and (iii) presence of sufficient mesoporous spaces stabilizes charge-discharge behavior for the reason for keeping moderate mobility of ions in the EDLC cell. It is noteworthy that C-LCC-aw that has the high  $S_{\text{BET}} = 1791 \text{ m}^2/\text{g}$  with microporous and mesoporous surfaces on the carbon grains and meso- to macroporous interspaces of their aggregates resulted in substantially high capacitances despite the absence of N on the carbon surface.

# CONCLUSIONS

The spherical composites of LA–HEC and LA–HEC–NaOH were prepared by the reverse-micelle formation and successive precipitation, which could be effectively converted to porous carbons up to 900 °C under an argon atmosphere, subsequent washing with water, and vacuum drying. They were fine spherical carbon aggregates, which had a hierarchical structure consisting of micropores and mesoporous cavities on the surface of each particle and meso- to macroporous spaces between the particles. The in situ activation of LCC-a during the carbonization was effective to obtain high porous carbons with large mesopore volume, which was applicable to EDLC.

## ASSOCIATED CONTENT

#### **S** Supporting Information

XPS spectra and elemental analysis data, IR spectra, mesopore distribution, SEM images of HEC, C-HEC, LA, and C-LA. The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acssuschemeng.5b00230.

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#### Notes

The authors declare no competing financial interest.

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